## Articles

February 07, 2025

Finra Faces Constitutional Challenges After Appeal Ducks Issues



- Perkins Coie partners look at open issues in Alpine case
- Several constitutional issues could threaten Finra

The self-regulatory organization responsible for member broker-dealers under federal law, the Financial Industry Regulatory Authority, is facing a number of constitutional challenges in the lower court following a federal appeals court decision in Washington late last year.

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## **Authors**

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