Blogs

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Private Early-Stage Startups Coming Under Increased SEC Scrutiny



Our <u>Client Update</u> notes that privately-held companies need to bear in mind that their representations to investors, sophisticated or not, are real as the SEC has taken a number of recent actions highlighting that they are not afraid to act in the private company area, whether it be taking Enforcement actions based on alleged fraud or considering rulemaking that would amend Form D. The Client Update recommends actions that companies can take to help protect themselves...

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