Blogs

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FINRA and CFTC Staff Issue COVID-19 Advisories on Commodity-Linked ETPs and Funds

FINRA and the CFTC each issued recent advisories on commodity-linked exchange traded products. Directed at retail investors and broker-dealers, the advisories each highlighted certain issues unique to commodity-linked exchange traded products that were recently demonstrated by market reactions to fluctuating oil prices caused by the COVID-19 pandemic. The advisories provided guidance on relevant considerations in connection with oil-linked and commodity-linked exchange traded products. Read the full article here.

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