Blogs May 21, 2020 Asset Management ADVocate

SEC to Amend National Market System Plan Governing Consolidated Audit Trail

On May 15, 2020, the U.S. Securities and Exchange Commission adopted amendments to the national market system plan governing the consolidated audit trail. The release adopts amendments to the CAT NMS Plan to address goals of increasing operational transparency and financial accountability. <u>Continue Reading</u>

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